## **Bariatric Surgery in Youth**

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### **Disclosures:**

- No relevant disclosures
- I will briefly discuss endoscopic bariatric procedures not FDA approved for youth



## Learning objectives

- Indications for bariatric surgery in adolescents
- Types of bariatric surgeries currently appropriate for adolescents
- Short and long-term benefits and risks of bariatric surgery in adolescents



# In October 2019, American Academy of Pediatrics issues policy statement on pediatric bariatric surgery:

## PEDIATRICS

OFFICIAL JOURNAL OF THE AMERICAN ACADEMY OF PEDIATRICS

From the American Academy of Pediatrics Policy Statement

Pediatric Metabolic and Bariatric Surgery: Evidence, Barriers, and Best Practices

Sarah C. Armstrong, Christopher F. Bolling, Marc P. Michalsky, Kirk W. Reichard and SECTION ON OBESITY, SECTION ON SURGERY Pediatrics October 2019, e20193223; DOI: https://doi.org/10.1542/peds.2019-3223

Pediatrics 2019 Oct 27 Epub ahead of print

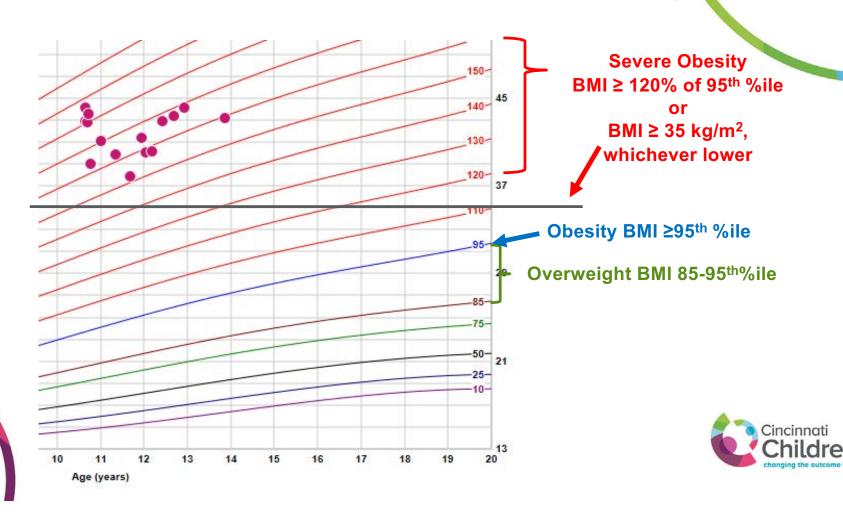


## Current BMI and comorbidity thresholds for youth

Severe obesity	Severe Comorbidity
Class 2:  ≥ 120% of the 95 <sup>th</sup> percentile for age/sex or  BMI ≥ 35 kg/m² (whichever is lower)	<ul> <li>OSA (AHI ≥ 5)</li> <li>Type 2 diabetes,</li> <li>NASH,</li> <li>orthopedic disease (Blount's, SCFE),</li> <li>Idiopathic intracranial hypertension</li> <li>Hypertension</li> </ul>
Class 3:  ≥ 140% of the 95 <sup>th</sup> percentile for age/sex or  BMI ≥ 40 kg/m² (whichever is lower)	Not required, but commonly present



## **Definitions: Pediatric Obesity**



## But adolescent bariatric surgery remains controversial among practitioners and public alike. .. Weight-Loss Surgery for

Selected public comments to NYT article by Perri Klass, 11/11/2019

"I am 57 and had gastric bypass surgery 9 years ago and it was the best thing I have ever done for myself. For the first time in my life I was able to keep the weight off and maintain a 120 lb weight loss. It is no quick fix as you must change your eating habits and start exercising. I wish I could have had the surgery at 16...it would have changed my whole life. No teen should have to live with being obese if there is a surgery available that can help them to not only lose the weight but keep it off as well".

THE CHECKUP

Teens Who Can't Lose Weight Any Other Way

The American Academy of Pediatrics has a new policy statement on bariatric surgery for adolescents.

"I am interested in longer term outcomes. How do these young people look and feel five and ten and twenty years down the road? The adults I know who have had the procedure do not do well... I have no moral objections to these procedures. I am just not sure they work longterm."

"This seems entirely wrong. Not addressing root cause. More of the same we get from the medical/pharma system."



## What is the rationale for bariatric surgery in youth?



## **Severe Pediatric Obesity Increasing**

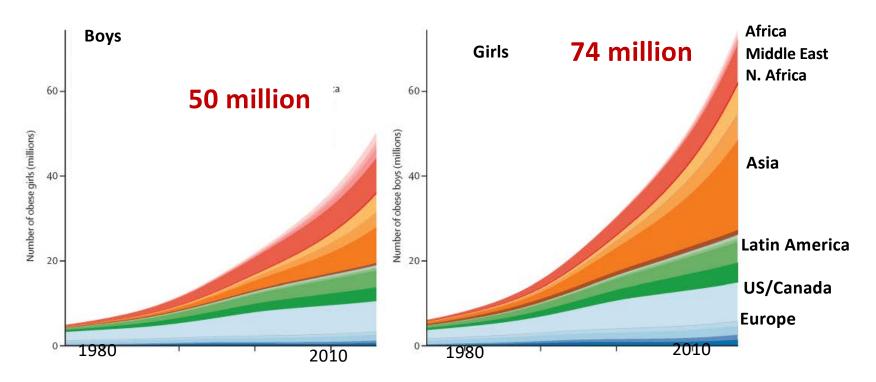
### Severe obesity in adolescents 12-19 yrs NHANES 2013-2014

	Girls	Boys
Class II obesity	10%	9%
Class III obesity	<b>5%</b>	4%

#### Affects approximately 4.5 million US adolescents!



## Increase in severe pediatric obesity: 1975-2016



Lancet 2017;390(10113):2627-2642

## **Medical Complications of Obesity**

#### Pulmonary disease

abnormal function obstructive sleep apnea hypoventilation syndrome

#### Nonalcoholic fatty liver disease

steatosis steatohepatitis cirrhosis

Gallbladder disease Pancreatitis

#### **Gynecologic abnormalities**

abnormal menses infertility polycystic ovarian syndrome

Joint deformities

**Arthralgias** 

Idiopathic intracranial hypertension

Depression, low self-esteem and QOL

#### Cardiovascular disease

Diabetes

Dyslipidemia

Hypertension

Gastroesophageal reflux, Constipation

#### Cancer

breast, uterus, cervix colon, esophagus, pancreas kidney, prostate

Leg deformities



## Non-operative treatment less effective in severely obese teens

Intervention	Weight Loss	
Dieting	2%	
Physical Activity	<1%	
Drugs: Metformin	1-3%	
Drugs: Orlistat	2%	
Drugs: Exenatide	3%	
Drugs: Sibutrimine	6%	

Kelley AS. Circulation 2013;128:1689-1712

McGovern J Clin Endocrinol Metab 2008;93:4600



Hypothalamic weight regulation:

"Barostat"

Usual weight



Fat sensors Nutrient sensors (in gut)

#### **Body's response:**

#### Save energy

- ↓ Sympathetic tone
- ↓ Thyroid function
- ↓ Energy expenditure

#### Eat more

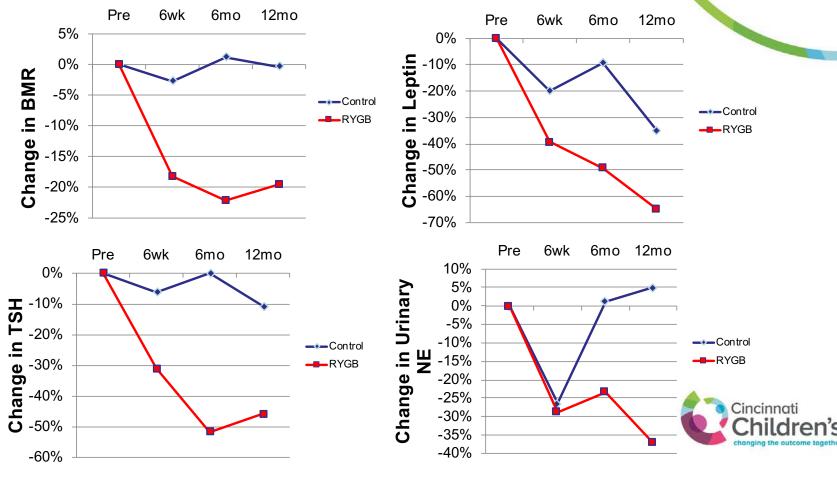
- ↑Appetite
- ↑ Activity in cortical food reward areas
- Delayed satiety

**NET:** Regain of weight



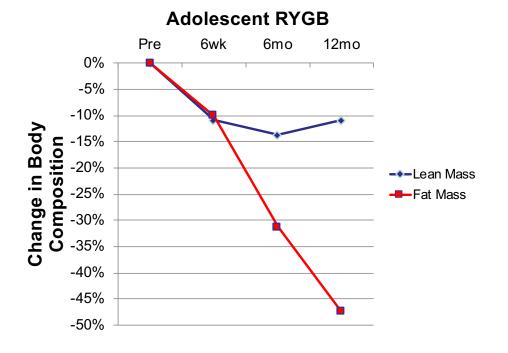
Rosenbaum et. al. NEJM. 1997; 337:396-407

## RYGB generates compensatory changes to decrease energy expenditure



## Yet RYGB still results in significant weight loss...

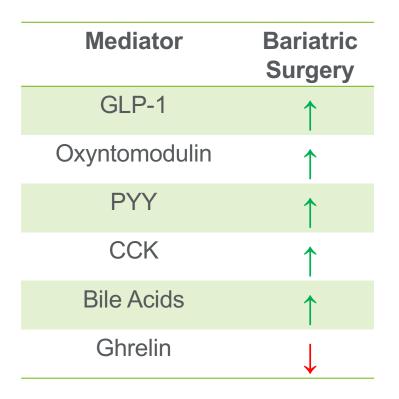
## Blunts the body's attempts to regain weight



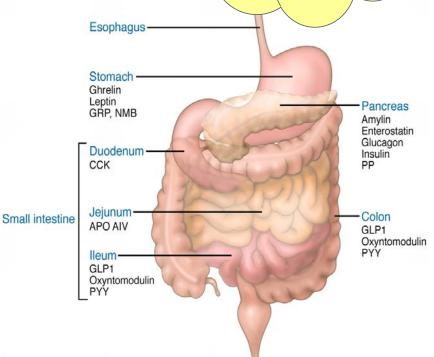
Butte N. Obesity 2015; 23:591

Cincinnati

Multiple mechanisms counteract body's attempt to regain weight



It is not just "stomach stapling"



## **Bariatric Surgery in Children**

Additional considerations beyond BMI and comorbidity...



## **Psychosocial factors**

- Behavioral and psychological factors:
  - Readiness to lose weight
  - Results of previous attempts
  - Adherence to medical regimens and follow-up
  - Family and social support system adequacy
  - No active psychosis or substance abuse

## Developmental maturity and decisional capacity of adolescent

- Can provide informed assent (no coercion) and understand implications
- Willing to comply with diet and behavioral modification
- Emotional and pyschological maturity

"there is no evidence to support the application of age-based eligibility limits"

Armstrong SC et al. Pediatrics 2019 Policy Statement

## **Special populations**

#### Pre-adolescents

 Preliminary studies report good BMI and comorbidity outcomes, but better outcome data needed

#### Challenging medical conditions

- · Spina bifida, osteogenesis imperfecta
- Hypothalamic obesity, syndromic obesity
- Developmental delay

## Insufficient data on safety and outcomes in these special populations

- Case by case evaluation with careful consideration of risk/benefit ratio
- Need for rigorous study of outcomes



### In these more challenging cases:

- Further evaluation may be necessary to determine patient's ability to understand risks/benefits or impact on a medical condition
- May require extended observation and preparation of patient and family to ensure ability to adhere to medical and dietary regimen
- Ethics consult is beneficial in some cases to ensure unbiased decision

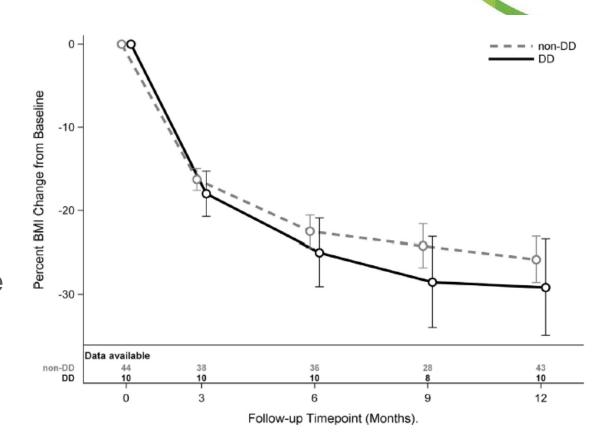
"Adolescents with <u>cognitive disabilities</u>, a history of mental illness or eating disorders that are treated, immature bone growth, or low Tanner stage should not be denied treatment."

**ASMBS 2018** 



### 1 year outcomes similar in our program

- 10 patients with cognitive impairment
  - 60% mild
  - 30% mod
  - 10% severe
- 44 matched controls
- Similar rate of adverse events



Goddard G. SOARD 2019;15: 1662

### **Contraindications**

- Medically correctable cause of obesity
- Ongoing substance abuse problem (within past year)
- Concurrent or planned pregnancy within 18 months of surgery
- Medical, psychiatric, psychosocial or cognitive condition that prevents adherence to postoperative dietary and medical regimen

Armstrong SC. Pediatrics 2019 Oct 27 Epub ahead of print



## **Bariatric surgery in adolescents**

## Short and long-term outcomes



## **Primary operations in adolescents:**

Roux-en-Y Gastric Bypass



Vertical Sleeve Gastrectomy

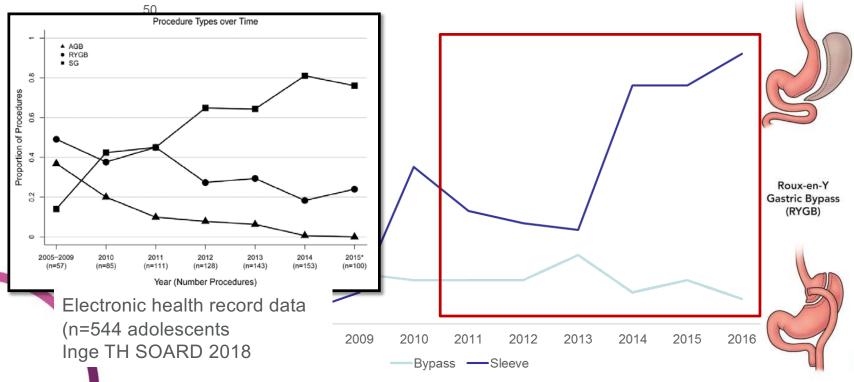




## Shift to VSG among adolescent surgeries

Vertical Sleeve Gastrectomy (VSG)

No. of VSG and RYGB per year





## Roux-en-Y Gastric Bypass (RYGB)

Roux-en-Y Gastric Bypass



#### Pros

- Long history (40+ years)
- Significant and durable weight loss (-30% of initial weight)
- Significant improvement in diabetes, sleep apnea and other comorbid diseases

#### Cons

- More surgical risk than other options (band or sleeve)
- Higher risk of nutritional deficiency



## Vertical Sleeve Gastrectomy (VSG)

#### Vertical Sleeve Gastrectomy



#### **Pros**

- Shorter operative time
- Less change to natural anatomy
  - Fewer operative complications
  - Less malabsorption of nutrients
- Short-mid term weight loss and health outcomes comparable to RYGB

#### Cons

- Long staple line, risk of leak
- Gastroesophageal reflux can occur or worsen
  - But can be converted to RYGB
- No long-term outcome data
- Irreversible



## **Multicenter Teen-LABS Study**

- NIH-funded, Prospective Observational Study (2006-2016)
- Long term safety and efficacy study.
- 242 consecutive subjects (March 2007 Feb 2012).
- Longitudinal assessment: Pre-op, 6mo, 12mo and annually.
- Measures: demographic, anthropometric, physical and behavioral health, physical activity
  - · Standardized definitions of comorbid conditions
  - Central laboratory measures, and bio-specimens at Baseline, 6mo, 12mo and annually
  - Independent adjudication of all re-admissions/op/death









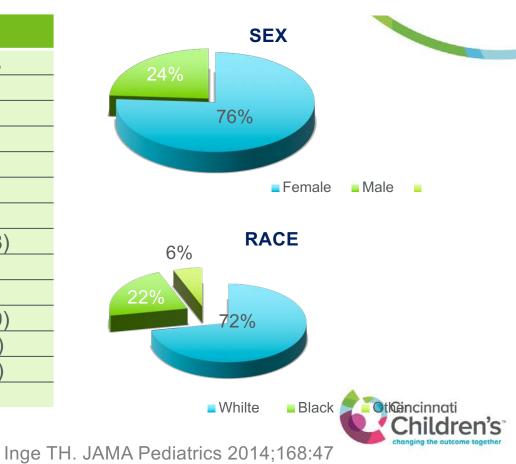




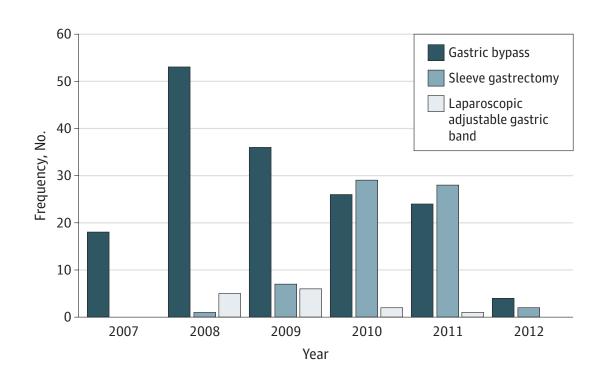


## **Teen-LABS: Participant Characteristics**

Characteristics	N = 242
Mean Age (SD)	17 years
Age group %	
13-15 years	27%
16-17 years	38%
18-19 years	35%
<b>Body Mass Index</b>	
Median (Min,Max)	51 (34-88)
BMI group % (N)	
< 40 kg/m <sup>2</sup>	3% (6)
40-49 kg/m <sup>2</sup>	45% (109)
50-59 kg/m <sup>2</sup>	32% (77)
≥ 60 kg/m <sup>2</sup>	21% (50)
2 00 kg/III	2170 (30)



## Teen-LABS: Procedure Type By Year



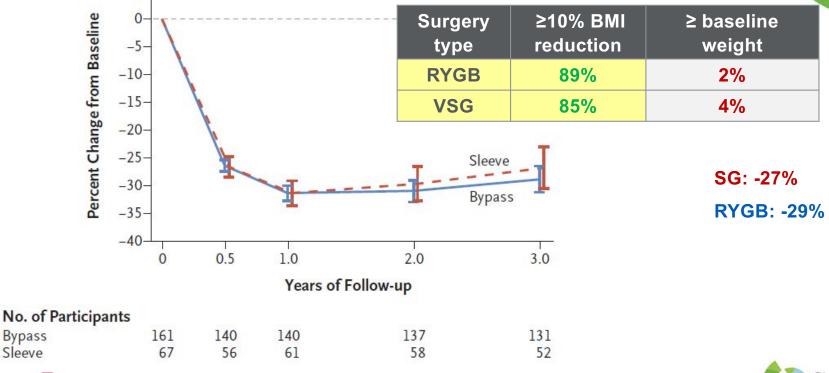


Inge TH. JAMA Pediatrics 2014;168:47

### Teen-LABS: 3 Year Weight Change from Baseline

Bypass

Sleeve





## Teen-LABS: 3 Year Comorbidity Outcomes:

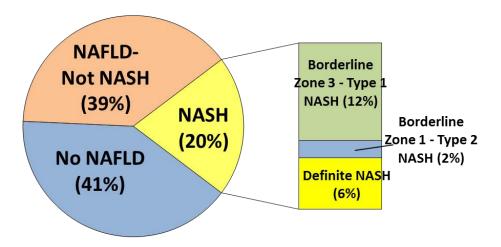
Condition	Baseline Prevalence	In Remission at 3 Years
	% Observed Prevalence (95% CI)	%Modeled Prevalence* (95% CI)
Type 2 Diabetes	13 (9-17)	<b>90</b> (65-98)
Prediabetes	10 (6-14)	<b>77</b> (48-92)
Dyslipidemia	76 (70-82)	<b>66</b> (56-74)
Elevated BP	43 (36-49)	<b>73</b> (60-83)
Abnormal kidney function	17 (12-22)	<b>86</b> (63-90)

\* Modeled prevalence used to adjust for missing data (GMM approach)



### Prevalence of NAFLD and NASH

157 teens with intraoperative liver biopsies (BMI 52 kg/m<sup>2</sup>) 16 excluded due to medications (13) or insufficient tissue (3)

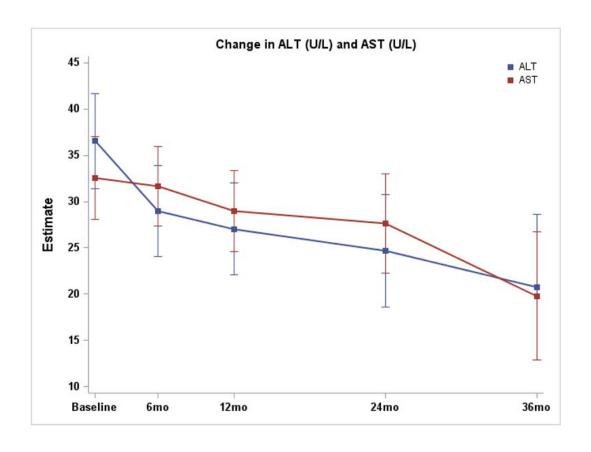


Mean BMI 52



Xanthakos et al.. Gastroenterology 2015

## **ALT** outcomes at 3 years





Xanthakos et al. Manuscript in preparation

## Quality of life improves, but long-term outcomes unknown

Teen-LABS : Impact of Weight on Quality of Life-Kids (IWQOL)				
IWQOL-KIDS Mean total scores	Overall	RYGB	VSG	
Baseline	62.9	61.9	63.9	
3 years	83.1	84.0	82.0	



## What about adverse events?

- Early post-operative period (≤ 30 days)
  - Major and minor complications
- Long-term (31 days 3 years)
  - Re-operations and other procedures
  - Nutritional deficiencies



### **Early Postoperative Complications** (≤ 30 days) in Teen-LABS

19 subjects (7.9%)
20 Major Complications
36 subjects (14.9%)
47 Minor Complications



- Major: Life threatening/permanent harm (no deaths), organ loss, reoperation (2.7%), blood transfusion, major deviation in anesthetic/operative management
- Minor: Unplanned perioperative events (liver/spleen lac), mesenteric hematoma, injury to adjacent organs, deviation from routine care (initiate nonoral enteric feeding, TPN administration, etc.)

	<u>Major</u>	Minor
<b>RYGB</b>	9.3%	16.8%
VSG	4.5%	11.9%
LAGB	7.1%	7.1%



### Staple line leak (2%-2.5% in adults):

- Fever, tachypnea, tachycardia, pain
- Consider endoscopic options if stable and contained leak
  - Endolumenal stents
  - Fibrin glue/clips
  - Endoluminal wound-vac
- NPO → NJ feeds/TPN
- Surgical re-exploration if unstable or leak not contained
- High morbidity/mortality in adults





## Teen-LABS Procedural Complications (≤ 31 days – 3 years)

		All (N=228)		Bypass (N=161)		Sleeve (N=67)	
	Procedure	Events (n)	Per 300 person-yrs	Events (n)	Per 300 person-yrs	Events (n)	Per 300 person-yrs
13%	Intrabdominal operation	47	22	38	25	9	15
13%	Endoscopic procedures	48	23	41	27	7	12

#### Top 5 operations or procedures overall (events per 300 person years):

1.	Upper endoscopies:	17.6	(RYGB: 20,	VSG: 10)
2.	Cholecystectomy	8.6	(RYGB: 10,	VSG: 5)
3.	Stricture dilation	5.2	(RYGB: 7,	VSG: 2)
4.	Lysis of adhesions	2.9	(RYGB: 4,	VSG: 0)
5.	Repair of internal hernia	2.4	(RYGB: 3,	VSG: 0)

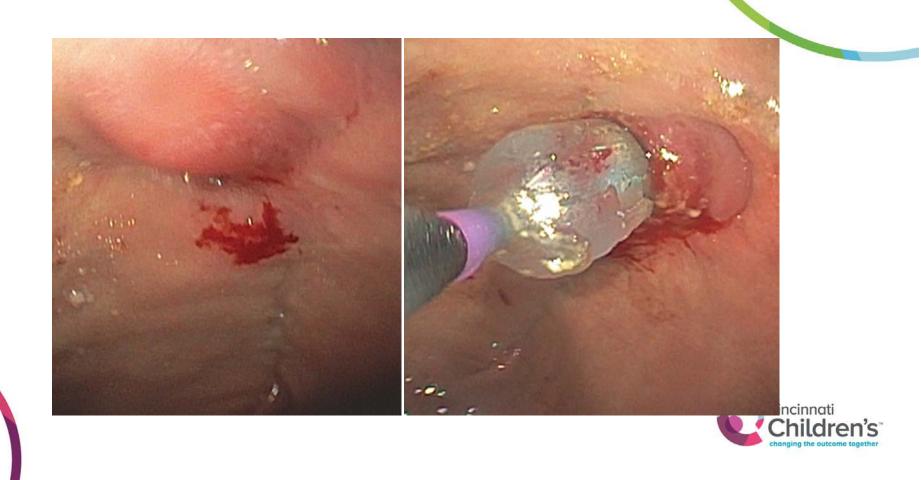


## Potential endoscopic indications post bariatric surgery

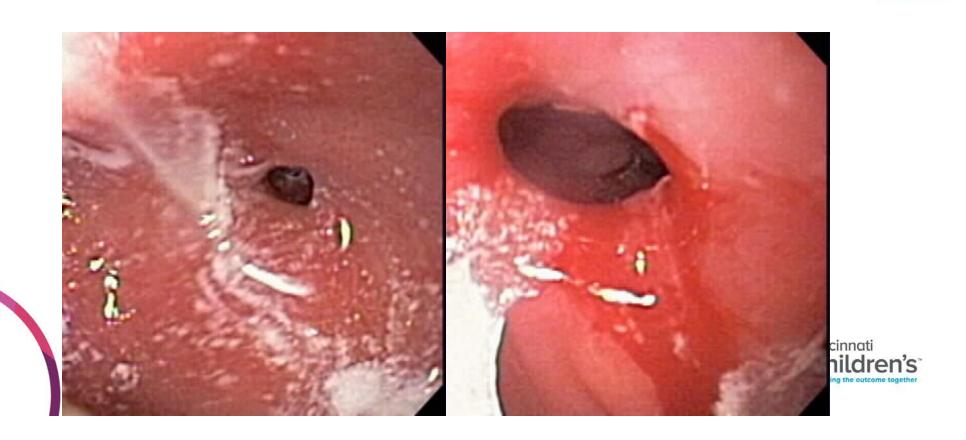
- Persistent nausea and vomiting after intake
  - Anastomotic stricture: RYGB
  - Partial gastric volvulus: VSG
- Worsening or new onset GERD: VSG > RYGB
  - Pyloric dilation/botox may help?
  - Bile reflux?
- Epigastric pain
  - Anastomotic ulcers: RYGB
  - Gastritis: bile reflux (VSG)
  - Cholelithiasis



## Anastomic Stricture: balloon dilation



## Stricture pre and post-dilation



## Partial gastric volvulus: VSG







## VSG and increased long-term risk of esophagitis/ Barrett's esophagus in adults

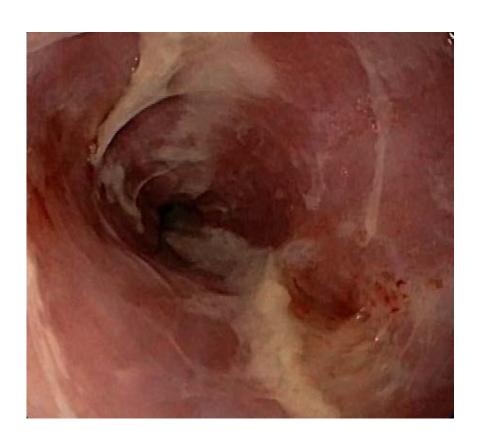
#### Surgeries done 2007-2010, Follow-up EGD ≥ 3 years

	Preoperative	Postoperativ e	P-value
GERD symptoms	34%	68%	<.0001
VAS score	1.8	3	.02
Daily PPI	19%	57%	<.0001
Esophagitis			
Class A	13	46	<.0001
Class B	8	33	<.0001
Class C	4	12	.04
Class D	0	9%	.002
Barrett's Esophagus	0	17%	<.0001



Genco A. SOARD 2017;13:568

## **Erosive Esophagitis**





## Anastomotic ulcers: risks include NSAIDs, smoking, ? H. pylori





## Nutritional deficiencies after bariatric surgery: multiple risk factors

- 1) Preoperative obesity- associated malnutrition (vitamin D, iron, B12, folate)
- 2) Decreased post-operative intake (reduced hunger, food intolerance, N/V)
- 3) Inadequate nutrient supplementation (non-adherence, insufficient amounts)

7) Malabsorption due to reduced digestion/absorption



5) Reduced intrinsic factor

6) More rapid gastric emptying and/or small bowel transit time

8) Bacterial overgrowth





### Teen-LABS: 5 Year Nutritional Abnormalities

Abnormality		Baseline %	5Years %	P Value
Low Ferritin				
	RYGB	2.5	71	<0.0001
	SG	11	45	0.002
High transferrin				
	RYGB	0.6	15.6	0.02
	SG	0	4.8	Not estimable
Low vitamin B12				
	RYGB	0.6	11.5	0.06
	SG	0	7.1	Not estimable
Low Vitamin A				
	RYGB	6	16	0.09
	SG	5	7	ns

Xanthakos S. Clinical Gastroenterol Hepatol Oct 2019 epub

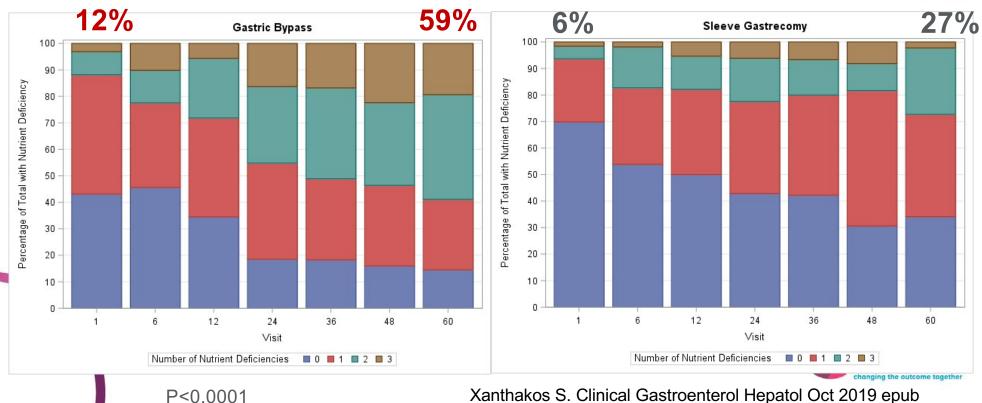
### **Stable Nutritional Measures**

Abnormality	Procedure	Baseline	5 Years	P value
		% Prevalence	% Prevalence	
Low 25-OH D	RYGB	45	51	ns
	VSG	19	33	ns
<b>Elevated PTH</b>	RYGB	11	16	n/a
	VSG	1.6	0	Not estimable
Low Vitamin B1	RYGB	1.3	0.9	Not estimable
	VSG	0	0	Not estimable
Low Folate	RYGB	3	1	ns
	VSG	1.6	4.8	ns
Albumin	RYGB	4.4	2.5	Not estimable
	VSG	0	2.3	Not estimable

No data collected on zinc, selenium, copper, C, E, K, no MMA, homocysteine



### RYGB → increasing prevalence of 2 or more nutritional deficiencies



Xanthakos S. Clinical Gastroenterol Hepatol Oct 2019 epub

# Higher intake of MVI, vitamin B12 and D supplements was associated with better nutritional measures

- Prescribe appropriate supplementation
- Monitor status annually or more frequently if symptomatic



## Potential symptoms of nutritional deficiencies

<b>Signs and Symptoms</b>	<b>Potential Nutritional Deficiencies</b>		
Anemia, fatigue, exercise intolerance	Iron, vitamin B12, folate, copper, B6		
Neurological signs Ataxia, opthalmoplegia Peripheral weakness, parasthesia	Thiamine (B1), vitamin B12, copper		
Osteopenia, osteomalacia	Vitamin D, calcium		
Night blindness, visual impairment	Vitamin A (s/p BPD)		
Hemorrhage (fetal)	Vitamin K in mother (s/p BPD)		
Alopecia, edema	Protein – calorie malnutrition		



## Other factors associated with worsening nutritional status after first year

- RYGB (iron, B12, vitamin A, and PTH)
- Female sex (iron)
- Pregnancy (iron and B12)
- Black race (vitamin A and D, PTH)
- Interval weight regain (iron, vitamin D, PTH)
- Not associated
  - Income or education level of guardian
  - Acid suppression



### **Pregnancy Caveats**

- Avoid in first 18-24 months post surgery
  - Transient drop in folate in first 12 months in Teen-LABS cohort
  - Decline in dietary calcium, iron, folate, zinc, vitamin A and D intake in 1<sup>st</sup> year in Teen-LABS
- Baseline and more frequent monitoring
- Additional supplements may be needed
  - Calcium
  - Iron: parenteral iron if needed
- Infants can develop deficiencies if a mother is breast-feeding and nutritionally deficient (more often after BPD)



### Additional GI complications:

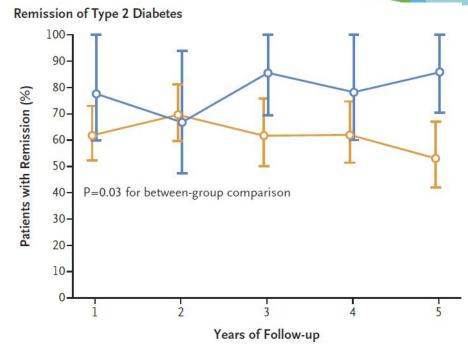
#### Diarrhea

- Lactose intolerance
- C.difficile screening
- Bacterial overgrowth (consider empiric Flagyl or trial of probiotic)
- If it persists, EGD ± colonscopy to r/o celiac or other causes
- Dumping syndrome/post-prandial hypoglycemia
  - First try dietary management
- RUQ pain/gallstones
  - Consider ursodiol prophylactically x first 6 months (evidence mixed)



### Long-term risks/benefits pending

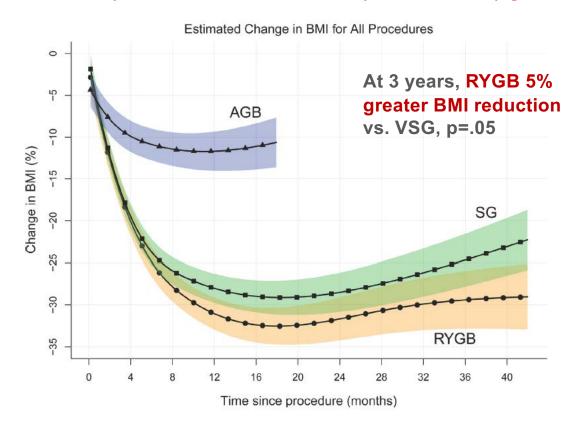
- Teen LABS 10 year data collection in process
- 5 year RGYB outcomes adolescents vs. adults
  - Weight -26% in adolescents vs. -29% in adults
  - Remission of T2 diabetes and hypertension significantly higher in youth
  - higher rate of intrabdominal operations in 5 years in youth vs. adults



Inge TH. NEJM 2019; 380(22):2136

## Long-term comparisons of RYGB and VSG needed for comparative effectiveness

Retrospective analysis from electronic health records in a national research network: 544 (306 SG, 177 RYGB, 61 AGB) adolescents (age 12–19 yr)



At 5 years, insufficient N for statistical comparison, but trend toward stabilization

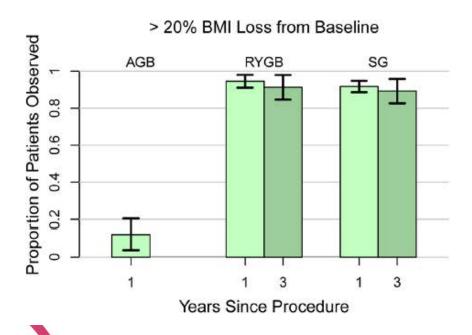
•RYGB - 24% (95%CI: -17%,-31%)

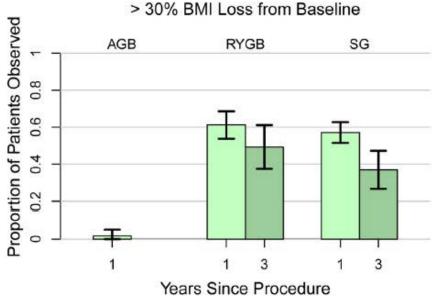
•VSG **-21%** (95%CI:-12,-29%)



Inget TH. SOARD 2018;14:1374

## Comparable maintenance of significant weight loss at 1 and 3 years: RYGB and VSG







## Follow up of Adolescent Bariatric Surgery 5+ (FABS-5): Single center outcomes

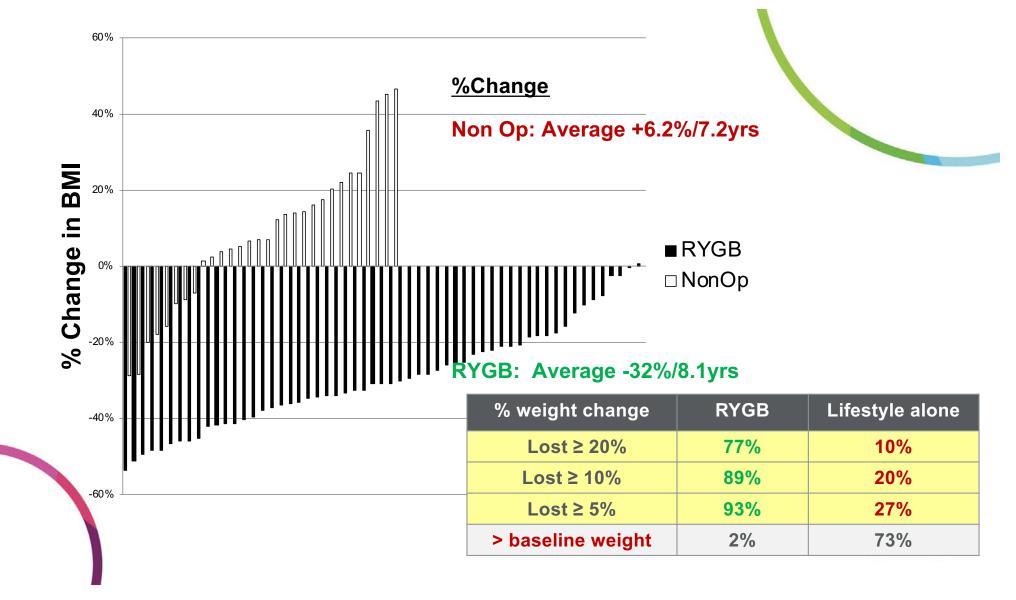
#### **RYGB** surgery

- n=58 (81% of eligible)
- Baseline age 17 yrs
- Baseline BMI, 58 kg/m<sup>2</sup>
- Mean f/u, 8 years

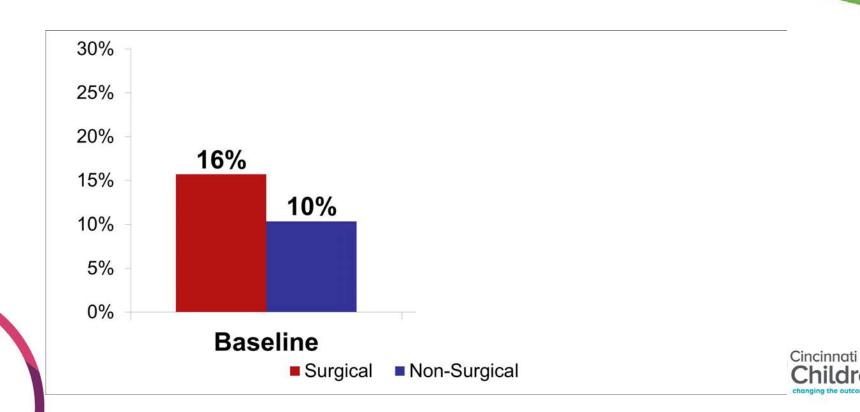
#### HealthWorks! (Non-Op)

- n=30
- Baseline age 15 years
- Baseline BMI, 51kg/m<sup>2</sup>
- Mean f/u, 7.3 years





### Type 2 diabetes cases



## Barriers: surgery not likely to be widely scalable

- Nearly 4.5 million US adolescents are severe obese
- But only 1000-1600 estimated bariatric cases per year
- Why?
  - Insurance barriers (47% of medically qualified teens approved)
  - Minority adolescents less likely to undergo surgery
  - Cultural factors? Health disparities?
  - Provider discomfort with referral?
  - Patient preference "don't want surgery"

Armstrong SC. Pediatrics 2019 Oct 27 Epub ahead of print



## Endoscopic bariatric treatments: a new "less-invasive" frontier?

- Intragastric balloons
  - Endoscopically placed (3 FDA approved for adults)
  - Swallowed
- Aspiration therapy
- Endoscopic sleeve gastroplasty
- Endoscopic duodenal resurfacing/sleeves

5-20% average weight loss reported Long-term and nutritional outcomes unclear Not all patients respond or contine treatment



## 3 studies in youth: intragastric balloons

#### Nobili et al Pediatric Obesity 2015

 10 children/adolescents, positive short term 3 month outcomes comparable to adults

#### Pezzo et al. Nutrire 2017

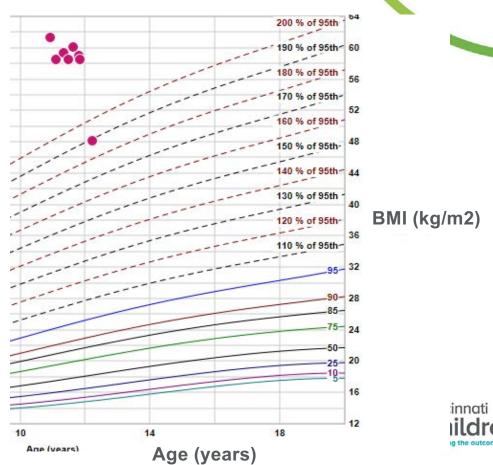
- 10 adolescent females, mean BMI 41
- Nausea/vomiting transient in 50%, no serious complications
- Removed post 6 months, no long-term outcomes
- $-4.29 \pm 1.04$  kg/m reduction in BMI

#### Reece E et al. Int J Obesity 2017

- 12 adolescents in comprehensive lifestyle program + intragastric balloon x
   6 months
- Weight loss at 6 months 7.05 kg±7.13
- Not sustained at 24 months weight regain



What weight management intervention did this patient have?



### **Summary #1**

- Bariatric surgery results in durable weight loss in majority of severely obese adolescents
  - Substantial and sustained decrease in BMI for majority
  - Corrects comorbidities & improves health
  - Reduces/prevents incident comorbidities
  - Enhances quality of life
  - RYGB more durable than VSG?



### **Summary #2**

- Surgery has risks
  - Early complications
  - Later adverse nutritional effects (RYGB > VSG)
- A "window" of opportunity to reverse severe pediatric obesity?
  - Surgery at lower BMI= ↓residual severe obesity
- Objective, prospectively collected data to inform clinical care and decision-making in the future
  - Long-term weight, nutrition and clinical, psychosocial and quality of life outcomes



### Team Care & Team Science



#### Clinical Team

- Michael Helmrath, Stavra Xanthakos, Susan Sewell, Linda Kollar, Cassandra McDaniel, Penni Taylor, Sanita Ley
- NIH/NIDDK and Teen-LABS collaborators





